#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Devon Brown

**CONFIRMATION NO: 9386** 

APPLICATION NO: 10/544,284

Atty. Docket: 70235USPCT

INTERNATIONAL APPLICATION NO: PCT/EP04/01427

INTERNATIONAL FILING DATE: 02/16/2004

FOR: EXPRESSION IN PLANTS OF ANTIBODIES AGAINST ENTEROTOXIGENIC

ESCHERICHIA COLI

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

The Notification of Defective Response mailed June 29, 2006, a copy of which is enclosed, states that the following requirements set forth in the Notification of Missing Requirements mailed April 11, 2006 have not been completed:

- 1. Payment of \$250 for 16 pages in excess of 150 pages for large entity
- 2. A copy of the sequence listing in computer readable form

Please note that Applicant mailed on January 5, 2006, in response to the Notification of Missing Requirements dated November 9, 2005, payment of the \$250 for the 16 pages in excess of 150 pages, a copy of which is enclosed along with the return postcard indicating receipt by the U.S. Patent and Trademark Office on January 9, 2006. Please also note that Applicant provided a copy of this same paper mailed on April 27, 2006, a copy of which is enclosed along with the return postcard indicating receipt by the U. S. Patent and Trademark Office on May 1, 2006, in response to a second Notification of Missing Requirements dated April 11, 2006.

Applicant submitted a Paper Copy and a Computer Readable Form of a Corrected Sequence Listing in the response mailed April 27, 2006 referenced above. However, in a telephone conversation with Ms. India Evans on August 9, 2006 she maintained that the Computer Readable Form was defective. Therefore, in accordance with Ms. Evans' directions, Applicant provides the Computer Readable Form of the Corrected Sequence Listing, the Paper Copy of which was filed April 27, 2006. The undersigned states that the Paper Copy filed April 27, 2006 and the enclosed Computer Readable Form are the same. No new matter has been added.

A Petition for Extension of Time for one month is hereby requested pursuant to 37 C.F.R. §1.136(a). Accordingly, please charge Applicant's Credit Card in the amount of \$120 for this Petition. The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment, to Account No. 50-1744 in the name of Syngenta Biotechnology Inc.

If the Examiner has any questions regarding this response, he or she is invited to contact the undersigned at 919-541-8646.

Syngenta Biotechnology Inc.
Patent Department
3054 Cornwallis Road
Research Triangle Park, NC 27709-2257

Respectfully submitted,

iegoz W Warren

Gregor W. Warren Agent for Applicants Reg. No. 48,385

Phone: (919) 541-8646

Date: August 10, 2006





#### United States Patent and Trademark Office

Page 1 of 2: RECEIVED JUL 06 2006

PRIORITY DATE

02/18/2003

UNITED STATES DEPARTMENT OF GENERAL CE United States Patent and ENG department (RIP, NC) Address: COMMISSIONER FOR PATENTS OF (RIP, NC) PO. Box 1450 Alexandra, Vignia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/544,284 Devon Brown 70235USPCT
INTERNATIONAL APPLICATION NO.

PCT/EP04/01427

22847 SYNGENTA BIOTECHNOLOGY, INC. PATENT DEPARTMENT 3054 CORNWALLIS ROAD P.O. BOX 12257 RESEARCH TRIANGLE PARK, NC 27709-2257

CONFIRMATION NO. 9386 371 FORMALITIES LETTER

\*OC00000019425503\*

I.A. FILING DATE

02/16/2004

Date Mailed: 06/29/2006 V

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 08/02/2005
- Copy of the International Search Report filed on 08/02/2005
- Preliminary Amendments filed on 08/02/2005
- Biochemical Sequence Diskette filed on 01/09/2006
- Oath or Declaration filed on 01/09/2006
- Biochemical Sequence Listing filed on 08/02/2005
- U.S. Basic National Fees filed on 08/02/2005
- Priority Documents filed on 08/02/2005
- Specification filed on 08/02/2005
- Claims filed on 08/02/2005
- Abstracts filed on 08/02/2005
- Drawings filed on 08/02/2005
- Paper nucleotide sequence listings filed on 08/02/2005

Applicant's response filed 01/09/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 04/11/2006 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$250 for a Large Entity:

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as
indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a

substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

 The fee for submitting a specification and drawing containing more than 100 pages has not been paid in full. Applicant owes \$250 for 16 pages in excess of 150 pages for a large entity.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

### For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/544,284	PCT/EP04/01427	70235USPCT

FORM PCT/DO/EO/916 (371 Formalities Notice)

Attorney Docket No. 70235USPCT Application No. 10/544,284

#### FILING BY "FIRST CLASS MAIL" UNDER 37 C.F.R. § 1.8

I hereby certify that the following correspondence is being deposited with the United States Postal Service as "First Class Mail" with proper postage in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, Alexandria, VA 22313-1450, on August 10, 2006.

- 1) Response to Notification of Defective Response (2 pages total)
- 2) Copy of Notification of Defective Response dated June 29, 2006
- 3) Petition for Extension of Time
- 4) Computer Readable Form of the Corrected Sequence Listing
- 5) Copy of the Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed April 27, 2006 (4 pages total)
- 6) Copy of the Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed January 5, 2006 (4 pages total)
- 7) Credit Card Payment Form
- 8) Return Postcard

Melissa Hardy	
Name	
MalinH	nedy
Signature	0

Attorney Docket No. 70235USPCT Mailing Date: April 27, 2006

Application No.: 10/544,284 Due Date: June 11, 2006

The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items below:

Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)

- Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated April 11, 2006 with Raw Sequence Listing Error Report
- Submission of Corrected Sequence Listing with Statement of Verification
- Paper Copy of Corrected Sequence Listing (31 pages total) Computer Readable Form of the Corrected Sequence Listing
- Copy of the Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed January 5, 2006
- Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated November 9, 2005
- Declaration for Utility or Design Patent Application (37 CFR 1.63) (6 total) mailed January 5, 2006

Power of Attorney or Authorization of Agent (6 total) mailed January 5, 2006 10)

Copy of Credit Card Payment Form mailed January 5, 2006

- Copy of the Return Postcard date stamped 09 January 2006 for the Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed
- 12) Return Postcard

Attorney Docket No. 70235USPCT Mailing Date: April 27, 2006

Application No.: 10/544,284 Due Date: June 11, 2006

The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items below:

Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)

Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected 2) Office (DO/EO/US) dated April 11, 2006 with Raw Sequence Listing Error Report

Submission of Corrected Sequence Listing with Statement of Verification

Paper Copy of Corrected Sequence Listing (31 pages total) Computer Readable Form of the Corrected Sequence Listing

Copy of the Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed January 5, 2006

Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected 7) Office (DO/EO/US) dated November 9, 2005

Declaration for Utility or Design Patent Application (37 CFR 1.63) (6 total) mailed January 5, 2006

Power of Attorney or Authorization of Agent (6 total) mailed January 5, 2006

10) Copy of Credit Card Payment Form mailed January 5, 2006

Copy of the Return Postcard date stamped 09 January 2006 for the Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed January 5, 2006

Return Postcard

Attorney Docket No. 70235USPCT Application No.: 10/544,284 Mailing Date: January 5, 2006 Due Date: January 9, 2006

The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items below:

Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)

Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated November 9, 2005

Declaration for Utility or Design Patent Application (37 CFR 1.63) (6 total)

Power of Attorney or Authorization of Agent (6 total)

Credit Card Payment Form

Filing by "First Class Mail" Under 37 CFR § 1.8

Attorney: Mary Kakefuda

Attorney Docket No. 70235USPCT Application No.: 10/544,284 Mailing Date: January 5, 2006

Due Date: January 9, 2006

Docketed.

The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items below:

Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)

opy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected

(jeclaration for Utility or Design Patent Application (37 CFR 1.63) (6 total)

Power of Attorney or Authorization of Agent (6 total)

Credit Card Payment Form

Filing by "First Class Mail" Under 37 CFR § 1.8

Attorney: Mary Kakefuda

IAPA Rec'd PCT/PTO 09 JAN 2006

#### FILING BY "FIRST CLASS MAIL" UNDER 37 § C.F.R. 1.8

I hereby certify that the following correspondence is being deposited with the United States Postal Service as "First Class Mail" with proper postage in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, Alexandria, VA 22313-1450, on January 5, 2006.

- 1) Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)
- Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated November 9, 2005
- 3) Declaration for Utility or Design Patent Application (37 CFR 1.63) (6 total)
- 4) Power of Attorney or Authorization of Agent (6 total)
- 5) Credit Card Payment Form

Un Hardy

6) Return Postcard

Melissa Hardy

Name

Signature

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Devon Brown

**CONFIRMATION NO: 9386** 

APPLICATION NO: 10/544,284

Atty. Docket: 70235USPCT

INTERNATIONAL APPLICATION NO: PCT/EP04/01427

INTERNATIONAL FILING DATE: 02/16/2004

FOR: EXPRESSION IN PLANTS OF ANTIBODIES AGAINST ENTEROTOXIGENIC

ESCHERICHIA COLI

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Sir:

The Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated November 9, 2005 (a copy of which is enclosed) has a shortened statutory time set to expire on January 9, 2006.

In response, applicants submit herewith an original executed Declaration of the inventors. Please charge Applicants' credit card for the \$130 surcharge fee under 37 CFR \$1.16(e), and \$250 for the 16 pages in excess of 150 pages for a large entity. A credit card payment form is enclosed for processing.

The Notification also stated a corrected Sequence Listing was needed, but did not include the marked-up "Raw Sequence Listing" as required. Therefore, Applicants were unable to determine how to correct the Sequence Listing. Applicants respectfully request that the marked-up sequence listing be sent so we may provide a corrected sequence listing.

The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.17 which may be required, or credit any overpayment, to Account No. 50-1744 in the name of Syngenta Biotechnology Inc.

If the Examiner has any questions regarding this response, he or she is invited to contact the undersigned at 919-765-5071.

Syngenta Biotechnology Inc.
Patent Department
3054 Cornwallis Road
Research Triangle Park, NC 27709-2257

Date: January 5, 2006

Respectfully submitted,

Mary Kakefuda

Attorney for Applicants

Reg. No. 39,245

Phone: (919) 765-5071

#### FILING BY "FIRST CLASS MAIL" UNDER 37 § C.F.R. 1.8

I hereby certify that the following correspondence is being deposited with the United States Postal Service as "First Class Mail" with proper postage in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, Alexandria, VA 22313-1450, on April 27, 2006.

- 1) Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)
- Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated April 11, 2006 with Raw Sequence Listing Error Report
- 3) Submission of Corrected Sequence Listing with Statement of Verification
- 4) Paper Copy of Corrected Sequence Listing (31 pages total)
- 5) Computer Readable Form of the Corrected Sequence Listing
- 6) Copy of the Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed January 5, 2006
- 7) Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated November 9, 2005
- 8) Declaration for Utility or Design Patent Application (37 CFR 1.63) (6 total) mailed January 5, 2006
- 9) Power of Attorney or Authorization of Agent (6 total) mailed January 5, 2006
- 10) Copy of Credit Card Payment Form mailed January 5, 2006
- 11) Copy of the Return Postcard date stamped 09 January 2006 for the Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed January 5, 2006
- 12) Return Postcard

Melissa Hardy	
Name	
Mellin +	tacky
Signature	

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Devon Brown CONFIRMATION NO: 9386

APPLICATION NO: 10/544,284 Atty. Docket: 70235USPCT

INTERNATIONAL APPLICATION NO: PCT/EP04/01427

INTERNATIONAL FILING DATE: 02/16/2004

FOR: EXPRESSION IN PLANTS OF ANTIBODIES AGAINST ENTEROTOXIGENIC

ESCHERICHIA COLI

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Sir:

The Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated April 11, 2006 (a copy of which is enclosed) has a shortened statutory time set to expire on June 11, 2006.

In response, Applicants submit a paper copy of the corrected Sequence Listing, a computer readable form of the corrected Sequence Listing on disk, and a Statement Verifying Identity of Above Copies. A copy of the Raw Sequence Listing Error Report is enclosed.

Please note that Applicants mailed on January 5, 2006, in response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated November 9, 2005, the original executed Declaration of the inventors, the \$130 surcharge fee under 37 CFR §1.16(e), and the \$250 for the 16 pages in excess of 150 pages, a copy of which is enclosed along with the return postcard indicating receipt by the U.S. Patent and Trademark Office on 09 January 2006.

Applicants believe that no fees are due with this response. However, the Commissioner is hereby authorized to charge any fees under 37 CFR §1.17 which may be required, or credit any overpayment, to Account No. 50-1744 in the name of Syngenta Biotechnology Inc.

If the Examiner has any questions regarding this response, he or she is invited to contact the undersigned at 919-765-5071.

Syngenta Biotechnology Inc.

Patent Department 3054 Cornwallis Road Research Triangle Park, NC 27709-2257

Date: April 27, 2006

Respectfully submitted,

Mary Kakefuda

Attorney for Applicants

Reg. No. 39,245

Phone: (919) 765-5071

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

#### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:	
☐ BLACK BORDERS	
$\square$ image cut off at top, bottom or sides	
☐ FADED TEXT OR DRAWING	
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING	
☐ SKEWED/SLANTED IMAGES	
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS	
☐ GRAY SCALE DOCUMENTS	
☐ LINES OR MARKS ON ORIGINAL DOCUMENT	
REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY	

### IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.